



D Y PATIL
UNIVERSITY

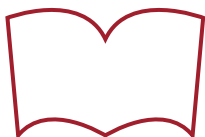
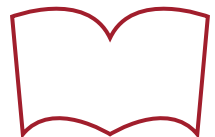
NAVI MUMBAI



PROSPECTUS

Ph.D

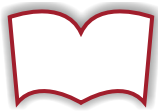
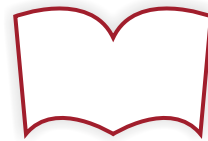
M.Phil





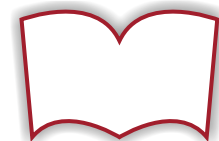
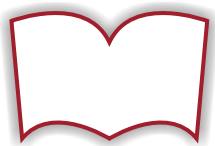
D Y PATIL
UNIVERSITY

NAVI MUMBAI



Ph.D / M.Phil

PROSPECTUS



Knowledge is Supreme

APPLICATION FORM - ENTRANCE EXAM. FOR M.PHIL./ PH.D.

[Application Form Fee: INR 1500/-]

[D.D. to be drawn in favor of 'D Y Patil University', payable at Navi Mumbai]

Application Number:-

Photograph of the
candidate

Course Name:-

Faculty:-

Apply for the Subject:-

Candidate Name:-

Mother Name:-

Father /Husband Name:-

Current Address:-

**D Y PATIL
UNIVERSITY**

Permanent Address:-

NAVI MUMBAI

Telephone:-

Mobile:-

Email ID:-

Present Occupation:-

Teaching Experience At Jr.College :- Years Months

Teaching Experience At Sr.College :- Years Months

Birth Date:-

Gender :-

Marital Status :-

Cast Category:-

Physically Handicapped :-

Nationality :-

Claim Exemption:-

Applied For Other Subject if any:-

GRADUATION DETAILS:-

Name Of College /Institute	University	Examination	Passing Year	Seat No.	Perc. %	Subject Offered

POST GRADUATION DETAILS:-

Name Of College /Institute	University	Examination	Passing Year	Seat No.	Perc. %	Subject Offered

Fee Details:-

- Application Form Fee: INR 1500. One Thousand & Five Hundred only
- DD with the application form should be submitted to Dr. Atul Deshmukh, Joint-Director, CIDR, Central Research Facility, Near Vice-Chancellor's Office, D Y Patil University, Nerul, Navi Mumbai. **D.D. should be drawn in favor of 'D Y PATIL UNIVERSITY', payable at Navi Mumbai.**

Place:

Signature of Applicant


Date:

<u>INDEX</u>		
<u>SN</u>	<u>Rules</u>	<u>Page No.</u>
1	DYP R. 1: Date of Application	04
2	DYP R. 2: Eligibility	04-07
3	DYP R. 3: Ph.D. entrance test (PET) & Interview	07-08
4	DYP R. 4: Merit List	08-09
5	DYP R. 5: Duration of Program	09
6	DYP R. 6: Fee Structure	10
7	DYP R. 7: Allocation of Research supervisor/Guide	10-11
8	DYP R. 8: Course work	11-18
9	DYP R. 9: Research Advisory Committee	18-20
10	DYP R. 10: Ethics Committee clearance	20
11	DYP R. 11: Treatment of PhD	20
12	DYP R. 12: Progress Reports	21
13	DYP R. 13 Paper publication & Presentation	21
14	DYP R. 14: Synopsis submission	21
15	DYP R. 15: Appointment of Referee	22
16	DYP R. 16: Final Thesis submission	22
17	DYP R. 17: Open Defense	25
18	DYP R. 18: Declaration of Result	25
19	DYP R. 19: Depository with INFLIBNET	25
<u>ANNEXURES</u>		
1	Annexure 1: Enrollment Form	27-31
2	Annexure 2: M.Phil / Ph.D. Checklist	32
3	Annexure 3: Research proposal Format	33
4	Annexure 4: Progress Report Form	34
5	Annexure 5: RAC Report-Evaluation of six monthly progresses	35
6	Annexure 6: Synopsis – Front page/Cover	36
7	Annexure 7: RAC Report-Synopsis & Pre-PhD presentation	37
8	Annexure 8: Synopsis submission Form	38
9	Annexure 9: List of Examiners	39
10	Annexure 10: Thesis - Front page/Cover	40
11	Annexure 11: Declaration by Student	41
12	Annexure 12: Declaration by Guide	42
13	Annexure 13: Synopsis Evaluation Form	43-45
14	Annexure 14: Thesis Evaluation Form	46-48
15	Annexure 15: Remuneration form for Evaluation of Thesis	49
16	Annexure 16: Report of Open defense/viva-voce	50

RULES OF PH.D./ M.PHILL. PROGRAM



DYP R. 1: DATE OF APPLICATION FOR REGISTRATION/ENROLLMENT:

A candidate seeking admission to the Ph.D./ M.Phil. program shall apply to the Director, CIDR, D Y PATIL UNIVERSITY, Navi Mumbai in the prescribed application form for registration/enrollment before 31st December, subjected to their prior selection in PET (Ph.D. Entrance test) and interview. 

DYP R. 2 : ELIGIBILITY

a. Eligibility criteria for admission to the M.Phil. program:

A Candidate seeking admission to the M.Phil. program shall have a Master's degree or a professional degree declared equivalent to the Master's degree by the corresponding statutory regulatory body, with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) or an equivalent degree from a foreign educational Institution accredited by an Assessment and Accreditation Agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions.

b. Eligibility criteria for admission to Ph.D. program:

Subject to the conditions stipulated in these regulations, the following persons are eligible to seek admission to the Ph.D. program:

- i) Master's Degree holders satisfying the criteria stipulated under Clause (a) above.
- ii) Candidates who have cleared the M.Phil. course work with at least 55% marks in aggregate or its equivalent grade 'B' in the UGC 7-point scale (or an equivalent grade in a point scale wherever grading system is followed) and successfully completed the M.Phil. degree shall be eligible to proceed to do research work leading to the Ph.D. degree at D Y PATIL UNIVERSITY, Navi Mumbai as an integrated program.

- iii) A person whose M.Phil. dissertation has been evaluated and the viva voce is pending may be admitted to the Ph.D. program of the D Y PATIL UNIVERSITY, Navi Mumbai
- iv) Candidates possessing a Degree considered equivalent to M.Phil. degree of an Indian institution or from a foreign educational institution accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority, established or incorporated under a law in its home country or any other statutory authority in that country for the purpose of assessing, accrediting or assuring quality and standards of educational institutions, shall be eligible for admission to Ph.D. programme.

c. Procedure for admission:

The student will be admitted to Ph.D. program through Ph.D. entrance test (PET) at the university level. PET shall be qualifying examination with qualifying marks as 50%. The syllabus of PET shall consist of 50% of research methodology and 50% shall be subject specific. PET shall be conducted at D Y PATIL UNIVERSITY, Nerul, Navi Mumbai. The candidates who qualify the PET will proceed to interview. The candidates are required to discuss their research interest/area through a presentation before a duly constituted departmental research committee. The interview shall consider whether the candidate possesses the competence for the proposed research, the research work can be suitably undertaken at the institution or college, the proposed area of research can contribute to new/additional knowledge.

The procedure shall be as follows:

- 1 A candidate shall apply for admission to the Ph.D. program in the prescribed application form and shall submit to the University.
- 2 NOC (No Objection Certificate) letter from the Institution or Company wherever necessary.
- 3 The regular Ph.D. candidates will have to appear for pre -Ph.D. course examination and pass it after the date of registration, but in any case before submission of the thesis.
- 4 Three copies of research proposal should be submitted.
- 5 A copy of statement of marks (basic degree and P.G. Degree, NET/SET/GATE/J.R.F etc.)

- 6 Migration/Transfer Certificate is necessary.
- 7 The registration form duly filled in and signed along with registration fees will be forwarded to the Director, CIDR through the Head of the University Department/ Principal/ Dean.
- 8 Authenticated copy of professional experience along with copies of the published research work in the proposed area should be submitted wherever necessary.

UGC guidelines:

1. D Y PATIL UNIVERSITY, Navi Mumbai shall admit M.Phil/Ph.D. students through an Entrance Test conducted at the level of University. Those students who have qualified UGC-NET (including JRF)/UGC-CSIR NET (including JRF)/SLET/GATE/teacher fellowship holder or have passed M.Phil program shall be exempted from PET. Foreign students (with GPA converted to 55 %) are exempted from appearing for written test however they should appear for interview in person / telephonic / online.
2. All the institutions and schools of D Y PATIL UNIVERSITY shall decide on an annual basis through their academic bodies, a predetermined and manageable number of M.Phil. and/or Ph.D. scholars to be admitted depending on the number of available research supervisors and other academic and physical facilities available, keeping in mind the norms regarding the scholar-teacher ratio, laboratory, library and such other facilities;
3. PET will be notified well in advance at D Y PATIL UNIVERSITY website and through advertisement in at least two (2) national newspapers, of which at least one (1) shall be in the regional language. The number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, examination center(s) where entrance test(s) shall be conducted and all other relevant information for the benefit of the candidates shall be printed on the advertisement.

4. PET entrance theory examination shall be followed by an interview. The successful candidates in PET test will be called for interview. The interview shall consider the following aspects, viz. whether:
- i) the candidate possesses the competence for the proposed research;
 - ii) the research work can be suitably undertaken at the Institution/College;
 - iii) the proposed area of research can contribute to new/additional knowledge.

DYP R. 3 : PHD ENTRANCE TEST (PET) & INTERVIEW

The Entrance Test will assess the depth of the candidate's knowledge in the relevant subject. The syllabus for the Entrance Test will be based on the *compulsory papers* at the Master's Degree Level and the *introduction of research methodology relevant to the subject*. An Entrance Test shall be qualifying with qualifying marks as 50%. The syllabus of the Entrance Test shall consist of 50% of research methodology and 50% shall be subject specific. The Entrance Test shall be conducted at D Y PATIL UNIVERSITY, Navi Mumbai.

a) NATURE OF QUESTION PAPER SHALL BE AS BELOW:

Section I

Objectives / Multiple choice question 50 x 1 = 50

Section II

Question: 1 Descriptive Type 10 x 1 = 10 Marks

Question: 2 Short Answer Type (Any 2 Out of 3) 05 x 2 = 10 Marks

Question: 3 Answer in Brief (5 Out of 8) 03 x 5 = 15 Marks

Question: 4 Short Notes Type (5 Out of 8) 03 x 5 = 15 Marks

The answer scripts of the entrance tests shall be evaluated by at least two examiners in the respective subject.

b) ENTRANCE TEST COMMITTEE (ETC)

The committee for conducting Entrance Test in the subject where department does not exist will be constituted by the Vice-Chancellor:

- a. Senior Professor as Chairman
- b. Chairman, Board of Studies in the concerned subject.
- c. Two subject experts, as nominated by the Vice-Chancellor (who shall be research guides for Ph.D.)
- d. One subject expert from the center (by rotation) who shall be a research guide.
- e. A quorum of **ETC** will be of three members. The tenure of the **ETC** will be for two academic years.

DYP R. 4 : MERIT LIST

The Written Test will consist of 100 marks and interview will consist of 50 marks. Merit list will be prepared as under,

- a. Merit list shall be displayed on the basis of following marks:
 - i. Total percentage of marks secured in the Post Graduate degree Examination will be converted out of 50.
 - ii. Total marks secured in the Entrance Examination will be converted out of 50.
 - iii. The marks secured in the Interview out of 50.
 - iv. Total of i, ii and iii will be out of 150.

An interview shall be organized by the Director, CIDR when the candidates are required to discuss their research interest/area through a presentation before a duly constituted Entrance Test Committee.

- b. For the students who are exempted from the entrance test, the merit list will be prepared on the basis of percentage of total marks secured in the post graduate degree examination and the marks secured in the interview. (Total marks will be out of 150).
- c. If the post graduate degree is not defining the percentage of marks, then his/her merit will be decided on the basis of the merit of written test and interview.

- d. The interview will be applicable to all the candidates. At the time of the interview, candidates are expected to discuss their research interest/area and the research methodology.
- e. Admission will be given on the basis of the combined merit list prepared on the basis of (a) and (b) above.
- f. Candidates will have to secure minimum 40% of marks in written examination as well as interview separately.
- g. There is no provision of a supply of photocopy or revaluation of the answer books.
- h. The ETC will prepare the general Merit List.
- i. All admissions will be processed through the ETC.
- j. While doing so, the student's interest in research areas and the specialization of the guide will be considered.
- l. The student must indicate his/her interest in broad research areas at the time of interview. Same should be reported by the ETC to the VC

Note : Qualifying in the Entrance test does not essentially mean the guarantee for admission.

DYP R. 5: DURATION OF PROGRAM:

- 1) **For M.Phil. program:** Minimum duration for M.Phil. program shall be of two (2) consecutive semesters / one year and a maximum of four (4) consecutive semesters / two years.
The women candidates and persons with disability (more than 40% disability) may be allowed a relaxation of one year for M.Phil.
- 2) **For Ph.D. program:** Minimum duration of three years, including course work and a maximum of six years.
- 3) Extension beyond the above limits will be governed by the relevant clauses as stipulated in the Statute/Ordinance of the individual Institution concerned.
- 4) The women candidates and Persons with Disability (more than 40% disability) may be allowed a relaxation of two years for Ph.D. in the maximum duration.
- 5) In addition, the women candidates may be provided Maternity Leave/Child Care Leave once in the entire duration of M.Phil/Ph.D. for up to 240 days.

DYP R. 6: FEE STRUCTURE:

- 1) **Ph.D./M.Phil. Entrance Examination fees:Rs. 1500/-**
- 2) **Enrollment/Registration fees: Rs. 10000/-**
- 3) **Tuition fees: Rs. 200000/- per year**
- 4) **Course Work Exam: Rs. 10000/-**
- 5) **Thesis Evaluation and Viva Exam: Rs. 30000/-**

Mode of Payment:

DD should be drawn in favour of 'D Y PATIL UNIVERSITY', payable at Navi Mumbai.

DYP R. 7: ALLOCATION OF RESEARCH SUPERVISOR/GUIDE

(Guidelines for Allocation of Research Supervisor for GRC)

1. The allocation of research supervisor for a selected research scholar shall be decided by the concerned department depending on the number of scholars per research supervisor, the available specialization among the supervisors and research interests of the scholars as indicated by them at the time of interview/*viva voce*.
2. In case of topics which are of inter-disciplinary nature where the department feels that the expertise in the department has to be supplemented from outside, the department may appoint a research supervisor from the department itself, who shall be known as the research supervisor, and a co-supervisor from outside the department/faculty/college/institution on such terms and conditions as may be specified and agreed upon by the consenting institutions/colleges.
3. A research supervisor/co-supervisor who is a professor, at any given point of time, cannot guide more than **Three (3)** M.Phil. and **Eight (8)** Ph.D. scholars.
4. An Associate Professor as Research Supervisor can guide up to a maximum of **Two (2)** M.Phil. and **Six (6)** Ph.D. scholars.
5. An Assistant Professor as Research Supervisor can guide up to a maximum of **One (1)** M.Phil. and **Four (4)** Ph.D. scholars.
6. In case of relocation of an M.Phil/Ph.D. woman scholar due to marriage or otherwise, the research data shall be allowed to be transferred to the University to which the scholar intends to relocate provided all the other conditions in these regulations are

followed in letter and spirit and the research work does not pertain to the project secured by the parent institution/ supervisor from any funding agency. The scholar will, however, give due credit to the parent guide and the institution for the part of research already done.

b) ALLOCATION:

A candidate may work under any recognized research guide of the University, except relatives such as husband / wife / daughter / son / brother / sister, who shall indicate on the application form his/her willingness to guide the student, subject to the condition that a guide shall at one time have not more than eight research students in all faculties

c) Guidance by Co-Guide / New Guide:

- i) In case of demise of a research guide, the student is allowed to opt and apply for a new guide according to the specialization.
- ii) If 50% or more work has been completed by the candidate under the deceased guide, the recognized co-guide may be appointed to supervise the work of the candidate. The name of the deceased guide should be printed on the thesis along with the name of co-guide.

DYP R. 8 : COURSE WORK:

- The credit assigned to the Ph.D. course work shall be a minimum of 08 credits and a maximum of 16 credits.
- The course work shall be treated as a prerequisite for Ph.D. preparation.
- A minimum of four credits shall be assigned to one or more courses on research methodology which could cover areas such as quantitative methods, computer applications, research ethics and review of published research in the relevant field, training, field work, etc.
- Other courses shall be advanced level courses preparing the students for the Ph.D. degree.

- All courses prescribed for Ph.D. course work shall be in conformity with the credit hour instructional requirement and shall specify content, instructional and assessment methods. That shall be duly approved by the authorized academic bodies.
- The department where the scholar pursues his/her research shall prescribe the course(s) to him/her based on the recommendations of the Research Advisory Committee.
- All candidates admitted to the Ph.D. program shall be required to complete the course work prescribed by the department during the initial one or two semesters.
- Candidates already holding M. Phil. degree and admitted to the Ph.D. program, or those who have already completed the course work in M.Phil. and have been permitted to proceed to the Ph.D. in the integrated course, may be exempted by the department from the Ph.D. course work.
- All other candidates admitted to the Ph.D. program shall be required to complete the Ph.D. course work prescribed by the department.

Course work shall be prescribed as below :

- The pattern of M.Phil. theory course work and Ph.D. course work shall remain same and it will be taught in the department/college/centre as per the given scheme.
- The medium of instruction of the course work shall be english except the languages' course work.

The course work examination for Ph.D. shall contain following papers:

1. Research Methodology with Quantitative Methods and Computer Applications: 100 marks
2. Recent Trends in the subject concerned: 100 marks
3. Optional papers (based on specialization) with (80+20=100) i.e. 80 marks of University examination + 20 marks for presentation of a review of published research papers from national/international journals on the concerned specialized areas. Departments should identify some specialized research papers and prepare their syllabi. The same should be approved by the RAC.

- **The syllabi of all papers shall be prepared in the given format as under:**

Subject Faculty

1. Title of the paper Paper No..... Compulsory / Optional paper.....
2. Year of implementation from onwards
3. Preamble of the syllabus
4. Total marks or fractions if any
5. Units or Modules shall remain only four with 15 contact hours for each module or unit. The whole syllabus should be taught in 60 contact hours. In case of practical /term paper/review/journal work, the fraction of marks and the nature of work should be defined.
6. References/ additional readings etc.
8. The field work, seminar, tutorials, GD, review has to be defined properly.
9. While preparing the course curriculum, the concerned departments should give equivalence to the old and new papers in the given format as under:

The format of course work.

The nature of question paper for the subject/paper shall be as indicated below:

- a) Short answer questions
- b) Long answer questions
- c) Short notes
- d) Solutions / exercise oriented questions / problems

Note: There shall be an equal justice of numerical marks given to the pattern above.

Students should write the answers in English except languages.

Students have to secure minimum **50 %** marks to complete the course work in individual head (a), (b) and (c) stated in (C) above.

M.Phil. Course Work Format for Syllabus & Evaluation. Method For Theory.

- I. Candidates will not be allowed to appear for theory examination unless he/she fulfils 75% attendance for all lectures.
- II. The course work will comprise 3 papers of 100 marks each. The content and components of three papers will be prepared by the concerned Research & Recognition Committee/ BOS/ Ad hoc Board as the case may be.

Paper -I will comprise of a course on Research Methodology consisting of Quantitative Techniques and Computer Application.

Paper -II shall be Recent Trends in subject concerned.

Paper -III shall be one optional paper (based on specialization) with 80 Marks of University Examination+ 20 Mark for presentation of review of published research paper from national / international journals on the concerned specialized area .

There should be at least three optional papers specified by the concerned department.

III. Every student shall present two seminars of 25 marks each, one on theory related to the M.Phil. Paper and one on review of Literature on published research in the relevant field. RAC shall communicate the marks obtained by the candidates in seminars to the University within a month after the last date of term .

IV. M.Phil. Course work examination will comprise of,

A) Three papers of 100 marks each: 300 Marks.

1. Paper I - Research Methodology

- a. Quantitative Techniques
- b. Computer Applications

2. Paper II – Recent Trend in the Subject

3. Paper III – Optional Paper (based on specialization) with 80 marks of University Examination + 20 Marks of Presentation on review of published research paper from the national and international journals.

Note: The Department should identify the specialized paper as per the available specialized staff in the department and prepare the syllabi there to.

B) Seminars (on Paper –II) 50 Marks

C) Dissertation: 200 Marks

D) Viva-voce: 50 Marks

V) To assess the three papers as above, there shall be panel of two examiners, one internal and one external. The assessment may be conducted centrally or by post.

VI) The syllabus for the course work will be prepared by the BOS/ Ad hoc Board as the case may be.

VII) The syllabi of all papers shall be prepared in the given formant as under.

1. Subject.....

Faculty.....

2. Title of the paperpaper No.....
compulsory/optional paper.....

3. Preamble of the syllabus

4. Total marksor fractions if any

5. Units or Modules shall remain only four with 15 contact hours for each module or

unit. The completed syllabus should be taught in 40 contact hours. In case of practical/term paper/review/journal work, the contact hours shall be 10 +10 for seminar and review of papers respectively.

6. References/additional readings etc.

7. The scheme of teaching and examination should be given by the department as applicable to the course/paper concerned in the following format for each paper:

Sr. No. of the module / unit	Total No. of Lecture /Practical / Tutorials etc.			Examination containing Theory /Practical / review /term paper / Journal work etc .			
	Lectures 40 contact hours	Practical /tutorials/ seminar/review 10+10 contact hours	Total	Paper	Theory	Practical/ Seminar/ Review component	Total
1			15	I	100	-	100
2			15	II	100	-	100
3			15	III	80	20	100
4			15	Total	280	20	300

8. The field work, seminars, tutorials, group discussions and reviews has to be defined properly.

9. While preparing the course curriculum, the concerned department should give equivalences to the old and new papers in the given formant as under:

Sr. No.	Title of old Papers and Paper No .	Title of new Papers and Paper No.

IX) The pattern of M. Phil. theory course work and Ph.D. course work shall remain same and it will be taught in the department/schools as per the given scheme.

X) The medium of instruction of the course work shall be English except the languages course work. The students are required to answer the theory and seminar/review in

English only.

XI) The nature of question Paper for the subject/ paper shall be as indicated below:

- a) Short answered question
- b) Long answered question
- c) Short notes
- d) Solutions. Exercise oriented questions/ problem

Note: There shall be equal justice of numerical marks given to the pattern above.

M.PHIL. EVALUATION OF THEORY PAPER, DISSERTATION AND CONDUCT OF VIVA-VOCE:

- i) The following procedure and tables shall be applied for allotting grades. Each theory paper shall be assessed by two examiners, one internal and one external.
- ii) The dissertation produced by the M. Phil. student shall be evaluated by at least two experts out of which at least one should be from outside the state. Each Expert shall assess dissertation for 100 marks and grade point and shall submit the textual report to the university.
- iii) The external experts for dissertation will have to give his/her acceptance within 30 days from the date of letter, otherwise, it will be treated as non-acceptance and the next referee will be appointed. Both the experts should send their reports within a month after receipt of dissertation.
- iv) After receiving the positive reports, open defense /viva-voce should be conducted within one month's period.
- v) The viva- voce will be conducted at university
- vi) The student should attend the viva-voce at his/her own cost.
- vii) Open Defence:
The (open Defence) viva-voce will be conducted by a committee consisting of three members, one of them will be guide and two member (of whom at least one will be from the University department or from the RAC and he/she will be chairperson of open defence viva-voce) another will be expert of on the dissertation in the university suggested by the RAC.
- viii) The student shall collect a copy of his/her dissertation immediately after the viva-voce from the university office. If the student fails to collect the same, it will be sent to the concerned department for department library/University library.

a) FACULTY OF SCIENCE, MEDICINE, DESTISTRY, AYU & OTHER .

Notation	Equivalent numerical scores	Grade Points
O	75-100	6
A	65-74	5
B	55-64	4
C	45-54	3
D	35-44	2
E	25-34	1
F	00-24	0

b) FACULTY OF ARTS, SOCIAL SCIENCES, COMMERCE & EDUCATION:

O	65-100	6
A	60-64	5
B	51-59	4
C	41-50	3
D	31-40	2
E	21-30	1
F	00-20	0

Viii) Both examiners shall submit the marks and grades on separates sheet supplied to them. They should not enter the marks and grades on the answer book.

ix) If there is a difference of more than 2 grades between the assessment of two examiners, for example , if one examiner allots “A” grade points and the other “D” grade, the paper/ dissertation shall be referred to the second external examiner, appointed by the Hon . Vice Chancellor from the panel already prepared.

X) In case where the answer-book /dissertation are sent to the third examiners, the grades allotted by him and the grades closer to any one of the earlier two examiner's grades shall be considered for average grade, even if, the difference remains in their assessment.

a) The marks allotted by two examiners / experts for each paper / dissertation shall be averaged and calculated up to two decimal places.

b) Seminars will be assessed in mark (out of 50), which shall be added to the marks of the viva-voice (out of 50) for calculating the grade.

c) At the time of the consolidation, the grade-points allotted to each paper/dissertation, should be considered according to (ii), will be added together up to two decimal place and shown on the result sheet. For giving the final grade, the grade-point average shall be rounded off to the next digit if the fraction is 1/2 or more than half

Example	External	Internal
PAPER - I	A	B
	5	4
Paper Average 4.50		
PAPER - II	B	C
	4	3
Paper Average 3.50		
PAPER - III	A	C
	5	3

Paper Average 4.00	
IV	Dissertation B x 2 = 8
V	Viva-voce A = 5
Total	$ \begin{array}{r} 4.50 + 3.50 + 4 + 8 + 5 \\ \hline 6 \\ = 25 \\ \hline 6 \\ = 4.16 = B \end{array} $

Suppose, the total is 4.50 or more, then it shall be awarded “A” grade.

M.Phil.: STANDARD OF PASSING

The assessment of the candidates shall be in grades on the 7 point Scale. The candidates should get at least C grade in every paper in his dissertation and viva-voce separately. In the aggregate he/she should get minimum B grade for being eligible for the award of M. Phil Degree.

The candidates securing D and below D grade will have to appear again for the next examination.

If a candidates fails at the M.Phil. examination, he/she will be allowed to reappear for that examination, only in those heads of passing (papers/dissertation/viva-voce) in which he has obtained less than B grade. The exempted heads of passing (papers/dissertation/viva-voce) shall be taken into account for the next evaluation.

XII) The final result will be declared after considering the overall grades of,

Written Examination

Dissertation

Seminars and

Open Defence Viva-voce

DYP R. 9: RESEARCH ADVISORY COMMITTEE:

(RAC i.e Scrutiny committee) Research Advisory Committee and its functions:

1. Research Advisory Committee:

There shall be a Research Advisory Committee (RAC) at the school level. Research supervisor of the scholar shall be the convener of this committee. This committee shall have the following responsibilities:

- a. To review the research proposal and finalize the topic of research.
- b. To guide the research scholar to develop the study design and methodology of research and identify the course(s) that he/she may have to do.
- c. To periodically review and assist in the progress of the research work of the research scholar.
- d. A research scholar shall appear before the Research Advisory Committee once in six months to make a presentation of the progress of his/her work for evaluation and further guidance. The six monthly progress reports shall be submitted by the Research Advisory Committee to the Director, CIDR with a copy to the research scholar.

In case the progress of the research scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures.

- e. If the research scholar fails to implement these corrective measures, the Research Advisory Committee may recommend to the university with specific reasons for cancellation of the registration of the research scholar.
- f. After submission of a research proposal by a student for registration to the Ph.D. degree course, the student along with his guide should be called before an (RAC) Scrutiny Committee for oral presentation. The Scrutiny Committee will go into the details of the proposal and will instruct the student to submit the proposal or improve the proposal or resubmit the proposal for the consideration of the Committee. The quorum for the Scrutiny Committee shall be three members. In case of the resubmission of the proposal, specific written instructions should be given to the concerned candidate. Resubmitted proposal will be forwarded to the Chairman of the RAC along with his remarks to the University office.

- g. The procedure and processing of applications will be as follows:
- 1) All applications will be placed before the Research Advisory Committee for provisional approval of titles of proposed research work. In case of revision recommended by the Research Advisory Committee, the concerned Dean and HOD shall approve the revised proposal
 - 2) After the approval of the title and synopsis by the Research Advisory Committee, the candidate will be in the first instance asked to pay the necessary fees and on receipt of fees, a provisional admission letter will be issued which will be confirmed on the fulfillment of eligibility conditions.
 - 3) The registered students will have to make payment of necessary fees within one month of the date of registration, failing which the late fee will be imposed as under:-
 - i) Rs. 1000/- for one month delay,
 - ii) For every additional delayed month Rs.1500/-.
 - 4) On approval by the Research Advisory Committee, the final letter will be issued to the candidate, copy of which will be endorsed to all concerned.
 - 5) In case of nonconfirmation of the topic by the Research Advisory Committee leading to rejection, the fees except registration fee and eligibility fees will be refunded with a deduction of 10% administrative expenses.
 - 6) After final registration, the title along with details of the student, guide, and institute shall be announced on the web to avoid duplication.

DYP R. 10 : ETHICS COMMITTEE CLEARANCE:

A project involving human participants or tissues or fluids should be submitted to ethics committee of the respective institutes. Similarly, projects involving animals should be presented before animal ethics committee. In such projects, research work should be initiated only after obtaining clearance from ethics committee.

DYP R. 11: TREATMENT OF PH. D.:

Notwithstanding anything contained in these Regulations or any other Rule or Regulation, for the time being in force, D Y PATIL UNIVERSITY shall not conduct M.Phil. and Ph.D. programs through distance education mode.

Part-time Ph.D. will be allowed provided all the conditions mentioned in the extant Ph.D. Regulations are met.

DYP R. 12 :PROGRESS REPORTS:

Students have to submit minimum six progress reports at the interval of six months duration. Students can have a presentation of their work in front of research advisory committee. Reports of the same should be communicated to CIDR.

Each candidate shall submit to the CIDR through his guide six monthly progress reports, in a format mentioned in Annexure 4. If a candidate fails to submit two consecutive progress reports as stated above, he/she shall be asked to explain the reasons, if any, for the same within a period of one month. After one month all such cases will be placed before the RAC for its recommendations and on recommendations of the RAC, the Vice Chancellor will decide about the cancellation of registration.

DYP R. 13:PAPER PUBLICATION & PRESENTATION:

Ph.D. scholars must publish at least two research papers in the journals indexed in Scopus, Web of Science, Pub-med and UGC-CARE. The research papers published in the predatory journals will not be accepted. The Ph.D. scholar should have minimum two oral/posters presentations in conferences/seminars before the submission of the dissertation/thesis for adjudication, and produce evidence for the same in the form of presentation certificates and/or reprints.

DYP R. 14: SYNOPSIS SUBMISSION:

At the end of three years candidate shall give a presentation of their work in front of research advisory committee. The work will be followed by submission of synopsis to CIDR. Three copies of synopsis should be submitted to CIDR through the guide, HOD and head of an institution with recommendations from research advisory committee. All the copies should be submitted to CIDR.

Change in Title:

A candidate may be allowed to change the title of his/her research work subject to approval by the research advisor committee and provided he/she applies for the change at least six months before the anticipated date of submission of his/her thesis or earlier. The candidate shall submit his application duly recommended by the guide, through the concerned H.O.D. If the change involves an altogether different topic from what was registered, the candidate shall not be allowed submission of his thesis unless he/she works for four more terms i.e. minimum prescribed period of Ph.D. study from the date of permission.

Change of Guide

A candidate may be permitted by the Research Advisory Committee to change his guide provided he/she applies for the change and the application for change is consented by both the guides i.e. present and proposed. In case of dispute Vice - Chancellor's decision will be final.

DYP R. 15 : APPOINTMENT OF REFEREE:

- Research guide/HOD/HOI will suggest and forward the list of four referees.
- Two of them should be from Maharashtra.
- And remaining two from out of Maharashtra.
- Contact details of the referee shall be shared with CIDR office on Annexure 9.
- Hon'ble Vice Chancellor will select two of them for further evaluation. Synopsis shall be forwarded to the selected referees for further evaluation. (Work will be done by CIDR office)
- The external referees should communicate their willingness or otherwise to evaluate the Ph.D. thesis within one month of the receipt of University letter.
- External referees should submit their evaluation reports within one month of the receipt of the thesis in the prescribed proforma as mentioned in Annexure 13 along with a detailed report.

DYP R. 16 : FINAL THESIS SUBMISSION:

As per the recommendation of referees, students will do all the necessary corrections in their research work. Students will be given six months time to write and submit their final thesis after the remarks of referees. Initially, students will submit two spiral bound copies of the thesis. Those copies shall be sent to referees again for final confirmation. After confirmation, students will be advised to go for final binding of the thesis. Students need to submit three copies of the final thesis.

The following sequence shall be incorporated while submitting final, hard bound copy of thesis.

1. Cover Page: The cover page should be hard bound, black art vellum or cloth overcast lettered boldly in gold as per Annexure 10. Do not use symbol of D Y PATIL UNIVERSITY anywhere in the thesis.
2. First Page: First page should be the copy of Annexure 10
3. Second Page: It should include declaration by student as per Annexure 11
4. Third Page: It should include declaration by guide & co-guide & approved by HOI as per Annexure 12.
5. Fourth Page: Acknowledgement
6. Fifth Page: Table of Contents
7. Sixth Page: List of abbreviations
8. Seventh Page: List of Tables
9. Eighth Page: List of figures
10. Ninth Page: List of Appendices
11. Tenth Page: Abstract
12. Body of Thesis: It should include introduction, aims & objectives, materials & methods, results & discussion, references, word index (optional), copies of publications/proceedings/abstracts presented/patents filed (related to research topic), case study form, format of consent/accident form wherever applicable, an approval copy of Ethics committee.
13. Font: Times New Roman 14 font with double spacing
14. Paper Size: A4. To include drawings, graphs, photographs and maps there is no restriction of A4 size

15. Margins: 3.5 cm all side

16. No. of copies: Three copies of hard bond thesis should be submitted to the University. One computer readable soft copy in the form of CD should be submitted.

SUBMISSION

- 1 A candidate shall make a presentation of his / her thesis before Research Advisory Committee at least two months prior to submission of the thesis. The research student shall incorporate suggestions made by the committee and then only the thesis shall be submitted.
- 2 A candidate may submit his thesis any time during the year, after the completion of the minimum prescribed period of Three years with completion of Pre - Ph. D. theory course work.

A candidate has to submit 3 hard copies of the thesis and soft copies in 1 CD along with a certificate signed by the Supervisor and attested by the Head of the Department through CIDR to the Controller of Examination. (Extra copies if required by student can be kept with school)

The procedure for appointment of examiners will be undertaken soon after the candidate submit his/her synopsis and pays the necessary deposit. In case the candidate does not submit his/her thesis within a period of six months the deposit will stand forfeited and he/she will have to pay a fine of Rs. 500/- per month of submission. The panel of examiners recommended will be valid for one year only.

C) The unanimous opinion of the external referees shall be accepted by the Vice-Chancellor.

The decision shall be in accordance with the opinions of the majority of external referees. In the event of rejection by one external referee, next external referee shall be appointed by the Vice-Chancellor. If revision of the thesis is suggested by one of the external referees, the thesis shall be revised by the candidate for resubmission as suggested by the referee. The revised thesis shall be sent to the same referee (who has suggested the revision) for evaluation. If revision of the thesis is suggested by both the external referees, thesis is considered for resubmission provided that the following conditions are fulfilled.

Thesis rejected by all the external referees will not be considered for resubmission:

- a) Half the tuition fee should be paid by the candidate for resubmitting the thesis after revision along with examination fee.
- b) Full laboratory and examination fees should be paid by the candidates for carrying out research in the University Departments for revision of thesis.
- c) The revised thesis will have to be submitted by the candidate within the maximum period of two years.
- d) The revised thesis shall be sent to the same referees for evaluation along with both the previous reports.

DYP R. 17 : OPEN DEFENSE AND VIVA VOCE:

- There shall be a viva-voce with Open Defense System.
- An open defense shall be conducted only after receiving positive reports from the referees.
- Internal referee (Ph.D. guide) and one external referee shall conduct the Viva Voce of the candidate.
- The subject expert or faculty nominated by Hon'ble Vice Chancellor shall act as a Chairperson. (The Head of the Department or the Dean of the concerned faculty or the senior teacher in the subject concerned nominated by the Vice - Chancellor shall act as a Chairperson for the open defense.)
- Remaining external referees can forward their concerns or queries to the selected referees for further discussion at the time of viva-voce i.e. other external referee may send questions in writing to the referees attending the viva - voce to seek clarification on any point in the thesis. However, queries raised by the external referees in their reports should be placed before the viva-voce.
- A chairperson, an external referee, and internal referee shall prepare a joint report of open defense and forward it through CIDR to Hon'ble Vice Chancellor and COE office.
- The recommendations shall be specific and final.
- They shall recommend either the award of the degree to the candidate or a fresh open defense within six months if the performance of the candidate is unsatisfactory.

DYP R. 18 : DECLARATION OF RESULT:

- The date of viva voce would be considered to be the date of declaration of result.

- On the final approval of the Hon'ble Vice Chancellor, the COE shall declare the result after the fulfillment of all the conditions.

DYP R. 19: DEPOSITORY WITH INFLIBNET

Following the successful completion of the evaluation process and before the announcement of the award of Ph.D. degree(s), the Institution concerned shall submit an electronic copy of the Ph. D. thesis to the INFLIBNET, for hosting the same so as to make it accessible to all Institutions/Colleges.



ANNEXURES

1	Annexure 1: Enrollment Form	27-31
2	Annexure 2: M.Phill / Ph.D. Checklist	32
3	Annexure 3: Research proposal Format	33
4	Annexure 4: Progress Report Form	34
5	Annexure 5: RAC Report-Evaluation of six monthly progresses	35
6	Annexure 6: Synopsis – Front page/Cover	36
7	Annexure 7: RAC Report-Synopsis & Pre-PhD presentation	37
8	Annexure 8: Synopsis submission Form	38
9	Annexure 9: List of Examiners	39
10	Annexure 10: Thesis - Front page/Cover	40
11	Annexure 11: Declaration by Student	41
12	Annexure 12: Declaration by Guide	42
13	Annexure 13: Synopsis Evaluation Form	43-45
14	Annexure 14: Thesis Evaluation Form	46-48
15	Annexure 15: Remuneration form for Evaluation of Thesis	49
16	Annexure 16: Report of Open defense/viva-voce	50

ANNEXURE -1



D.Y.PATIL UNIVERSITY
Navi Mumbai

(Established under Section 3 of the UGC Act 1956
vide notification No. F9 21/2000 U3 of the Government of India)
RE-ACCREDITED by the NAAC with 'A' Grade

D.Y.Patil Vidyanagar, Sector – 7, Nerul, Navi Mumbai – 400 706

ENROLLMENT FORM

[Enrollment Fee: INR 10,000/-. D.D. to be drawn in favor of 'D Y PATIL UNIVERSITY', payable at Navi Mumbai]

READ THE INSTRUCTIONS GIVEN FORM A

- I. FORM 'A' IS FOR ALL STUDENTS.
- II. TO BE COMPLETED BY THE STUDENT IN HIS / HER HANDWRITING IN DUPLICATE

Name of the College:-.....

Course in which admission is sought :.....

.....

1. Name (in CAPITAL letters) :.....

Surname :.....

Father / Husband:.....

Mother:.....

2. Sex : Male/ Female Blood Group :.....

2. Date of Birth :..... Birth Place :.....

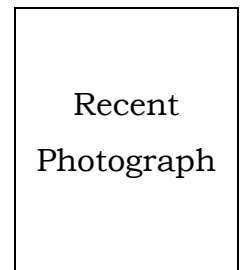
3. Caste :..... Category :.....

4. Name of the Qualifying Examination Passed :.....

5. Board / University from which passed :.....

6. Month & Year of Passing :.....

7. College / Institution from which passed :.....



Marks obtained at the Qualifying Examination:

Sr.No.	Subject	Maximum Marks	Marks Obtained	Class Obtained
I				
II				
III				
IV				

8. Occupation of Father/ Guardian :.....

9. Address for Correspondence :.....

13. Permanent Residential Address:

14. Telephone No. with STD Code:

Residence:.....

Mobile No of Candidate:.....

E-mail of Candidate:.....

Place; Navi Mumbai

Date :...../...../.....

Signature of the Students

(FOR OFFICE USE ONLY)

Certified that the entries made by the candidate regarding his / her marks at the qualifying examination and Date of Birth have been verified from the original copied of the: Mark Statement issued by the Board / University and School / College Leaving /Birth Certificate and found that he / she is eligible for admission to the course as per rules of the University and he / she is enrolled.

Seal of the College

Dean

Roll No. allotted to the Candidate

NOTE :

1. This form must be filled in duplicate. One copy be retained in the College Instituted Register of the University.
2. Cast & Category to be mentioned by the candidates belonging to the backward classes supported by attested certificates.
3. The attested copies of the Mark statement of SSC/HSC/Degree, School/ College leaving certificate/ Birth certificate/ Domicile certificate/Gap certificate/Fitness certificate are to be attached with application. Original to be submitted to the college office for verification.
4. Students from Boards/Universities from the other states must bring migration certificate.

(FOR OFFICE USE ONLY)

ELIGIBILITY ENROLLMENT

Sr. No.	Name of the Student	Case No.	Certificate No.	Date

Seal of the University

Registrar

D Y PATIL
UNIVERSITY

NAVI MUMBAI

Form B

(To be filled by the Students from Other States/ Boards / University)

Form B -I

PARTICULARS OF THE QUALIFYING EXAMINATION (HSC OF EQUIVALENT)
PASSED FROM OUTSIDE MAHARASHTRA BOARDS

- a. Year & Month of Passing
:.....
- b. Name of the Examination passed
:.....
- c. Name & Address of the institution through which appeared for HSC or equivalent
examination:.....
.....
- d. Medium of Examination
:.....
- e. Subject offered
:.....
- f. Division / Class / Grade obtained
:.....

Form B-II

PARTICULARS OF EXAMINATION APPEARED / PASSED BY THE CANDIDATES
OR TERM/S KEPT FOR THE SAME AFTER PASSING THE QUALIFYING
EXAMINATION

- Name of the Examination :.....
- Name of the School/ College :.....
- Name of Board / University :.....
- Medium of Examination :.....
- Year of Appearance / Passing / Keeping Term/s :.....
- Class / Grade obtained therein :.....

PARTICULARS : REGARDING EDUCATION GAP AFTER QUALIFYING
EXAMINATION

(PLEASE MENTION THE PERIOD OF GAP DULY SUPPORTED BY THE
AFFIDAVIT)

Period of Gap
:.....year/s.....Months.

Form B - III

(PARTICULARS REGARDING TRANSFER FROM OTHER UNIVERSITY)

- Name of the Examination :.....
- Name of the College / Institution:.....
- Name of Board / University:.....
- Medium of Examination:.....
- Specify the clinical subject/s offered:.....
- Year of Appearance / Passing / Keeping Term/s :.....
- Number of attempt (if any) :.....
- Class / Grade obtained therein :.....
- Relevant Council's letter No. & Date authorizing inter-university transfer
(Only for Medical & Dental College Student)

- Form B is required to be filled in by the students who have passed the qualifying examinations from the Board other than the regional boards of Maharashtra State o Secondary and Higher Seconadary examination.
- Those who have either joined other course after qualifying examination or having gap after it
AND

CERTIFICATE TO BE ISSUED BY THE PARINCIPAL / DEAN

This is to certify that I have checked the eligibility for and the enclosed documents. I have attested the requisite documents. I have apprised the student regarding the cut-off-date notifications issued by the University for grant of term and necessary records have been maintained in the college showing the number of students eligible for 1st and 2nd term of each educational year.

UNIVERSITY

NAVI MUMBAI

DATED SIGNATURE OF PRINCIPAL / DEAN

ANNEXURE-2: M.PHILL./P.HD. CHECKLIST

NAME OF CANDIDATE:

MOBILE NUMBER:

EMAIL:

NAME OF SCHOOL & DEPARTMENT:

NAME OF GUIDE:

MOBILE NO. OF GUIDE:

EMAIL ID OF GUIDE:

DATE OF PHD ENTRANCE EXAMINATION:

DATE OF ENROLLMENT:

ENROLLMENT NO.:

SN	STEPS /PROCEDURE	DATE ON WHICH DCOUMENT RECEIVED/PREPARED	SIGNATURE OF STUDENT
1.	PhD ENTRANCE TEST RESULT		
2.	ENROLLMENT LETTER & RECEIPT		
3..	GUIDE ALLOTMENT LETTER		
4.	ACADEMIC DOCUMENTS [10 th , 12 th , graduation, post-graduation, Domicile, Gazette]		
5.	MIGRATION/TC		
6.	RESEARCH PROPOSAL		
7.	COURSE EXAM. RESULT		
8.	ETHICAL COMMITTEE LETTER		
9.	FIRST PROGRESS REPORT WITH RAC REPORT		
10.	SECOND PROGRESS REPORT WITH RAC REPORT		
11.	THIRD PROGRESS REPORT WITH RAC REPORT		
12.	FOURTH PROGRESS REPORT WITH RAC REPORT		
13.	FIFTH PROGRESS REPORT WITH RAC REPORT		
14.	SIXTH PROGRESS REPORT WITH RAC REPORT		
15.	NO. OF PAPER PUBLICATIONS		
16.	CONFERENCE PRESENTATIONS		
17.	SYNOPSIS WITH GUIDE LETTER		
18.	RAC REPORT- SYNOPSIS & PRE-PHD PRESENTATION		
19.	LIST OF EXAMINERS		
20.	COMMENTS OF REFEREE FOR SYNOPSIS		
21.	DECLARATION BY STUDENT		
22.	DECLARATION BY GUIDE		
23.	SPIRAL BOUND THESIS SUBMISSION		
24.	COMMENTS OF REFEREE ON FINAL VERSION		
25.	FINAL VERSION OF THESIS SUBMISSION		
26.	REPORT OF OPEN DEFENSE		
27.	TUTION FEE- YEAR 1		
28.	TUTION FEE- YEAR 2		
29.	TUTION FEE- YEAR 3		
30.	TUTION FEE – 4 TH YEAR ONWARDS		
31.	COURSE WORK EXAM. FEE		
32.	THESIS EVALUATION & VIVA EXAM. FEE		

ANNEXTURE -3
RESEARCH PROPOSAL FORMAT

The research proposal for the Ph.D./M.Phil. work shall contain the following points:

- A. The proposed research proposal should be self-contained and should cover the rationale for carrying out the research. (A research proposal is a sort of blueprint. Every effort made under it should be beneficial to the society at large.)
- B. Research is characterized by a diversity of theoretical perspectives, substantive orientation, methodology, strategy, data collection practice and data analysis – techniques.
- C. The synopsis of the proposed research shall contain following points:
 1. Title of the Research Proposal
 2. Choice of the topic with reasoning
 3. An overview of the relevant literature
 4. The methodology comprising,
 - (a) Statement of hypothesis,
 - (b) Methods of data collection,
 - (c) Probable methods of data analysis
 5. The chapter scheme of the proposed research work.
 6. Selected Bibliography

Signature of candidate

Signature of Guide

Signature of Co-guide (if any)

ANNEXURE-4
PROGRESS REPORT FORM

1. Name of the student:
2. Enrollment No:
3. Progress Report No:
4. Date of Registration:
5. Whether the candidate is regular student/
Independent student/JRF/SRF/DRF/ UGC
Teacher Fellow/Teacher/Employed person
6. Whether the candidate is
Attending pre-Ph.D. course regularly
If not, give reason
7. Name of the guide:
8. Title of the work:
9. Period of report:
10. Details of the work done:
[Kindly attach separate sheet to mention in detail about the work done in last sixth
month. Do not put in one line or one paragraph. Explain in detail.]

Signature of the student

NAVI MUMBAI

Signature of the Guide

Signature of the Co-guide if any

Date:

Place:

ANNEXURE-5
RAC Report – Evaluation of Six Monthly progress of Research

1. Name of Candidate:
2. Progress Report No.:
3. Date of Submission:
4. Enrollment No:
5. Title of the PhD project:
6. Kindly comment on the progress of candidate in detail. If it is satisfactory, kindly put remarks accordingly. If the progress is not satisfactory, kindly suggest necessary modifications. Candidate has to reappear for progress presentation after modifications as per the recommendations of RAC committee. Kindly attach extra sheet if necessary.

Chairman / Member Secretary
RAC Committee

Members of RAC Committee: _____

[Kindly put names and signatures of all the RAC members who have attended the presentations]

ANNEXRUE-6

Synopsis Front page/Cover

Synopsis of Thesis

Submitted to

D Y PATIL UNIVERSITY, Navi Mumbai

For the award of

Doctor of Philosophy in ----- (Write Subject)

Name of Candidate:

Enrollment No & Date:

Title of Thesis:

Name of Department & School:

Name & Designation of Research Supervisor:

Signature of Research Supervisor with Date:

Signature of HOD/HOI with Date:

Comments of RAC:

**D Y PATIL
UNIVERSITY**

Signature of RAC Chairman / Member Secretary with Date:

Date of Submission to University:

ANNEXURE-7

RAC Report – Evaluation of Synopsis & Pre-PhD presentation

1. Name of Candidate:
2. Date of presentation of Synopsis:
3. Enrollment No:
4. Title of the PhD project:
5. Kindly comment about the completion of PhD research project work in detail. If the work of candidate is satisfactory, put your remarks accordingly. If the work is not satisfactory, suggest the modifications. Candidate has to do the necessary modifications before final submission of synopsis to University. If needed, kindly ask candidate to reappear for synopsis presentation. Attach the sheet if necessary.

**D Y PATIL
UNIVERSITY**

Chairman / Member Secretary
RAC Committee

NAVI MUMBAI

Members of RAC Committee:

[Kindly put names and signatures of all the RAC members who have attended the presentations]

ANNEXURE-8

Synopsis Submission Form

To,
Director
CIDR

Name of Candidate:

Enrollment No & Date:

Title of Thesis:

Name of Department & School:

Name of Research Supervisor & Designation:

Forwarded by Research Supervisor: Signature with Date:

RAC Committee Report: (Mention below or attach separate sheet if necessary)

Attachments:

1. RAC committee report:
2. Sealed envelope with List of Examiners on Annexure 9 Form.
3. 3 Copies of Synopsis
4. One soft copy in the form of CD

Kind Regards

NAVY PATIL
UNIVERSITY
NAVI MUMBAI

Chairman / Member Secretary
RAC Committee
School of -----

ANNEXURE-9

List of Examiners

CONFIDENTIAL

Name of Candidate:

Enrollment no. & Date:

Name of Department & School

Name of Guide & Designation:

SN	NAME OF EXAMINER	POSTAL ADDRESS	MOBILE NO.	EMAIL	AFFILIATION
EXAMINERS WITHIN MAHARASHTRA					
1.					
2.					
EXAMINERS OUT OF MAHARASHTRA:					
1.					
2.					

Signature of Guide/HOD/HOI with date:

Signature of RAC Chairman / Member Secretary with date:

ANNEXURE-10

Thesis – Front page/Cover

Title in Block letters

**A thesis submitted to
D. Y. Patil University, Navi Mumbai**

**For the Degree of Doctor
Of Philosophy / Master of Philosophy**

In

Subject:-

Submitted By

Name of the Candidate:

Under the Guidance of

Name of the Guide:

Name of the Co-Guide:-

Name of the Department/:

College /Institution

Year

ANNEXURE-11

Declaration by Student

DECLARATION BY STUDENT

I hereby declare that the thesis entitled -----

is completed and written by me. Previously, it has not formed the basis for the award of any Degree or Diploma by any other University or examining body.

Place:-

Date:-



NAVI MUMBAI Research Student

ANNEXURE-12

Declaration by Guide

This is to certify that the thesis entitled -----

which is being submitted herewith for the award of the Degree of Doctor of Philosophy in
..... of D Y PATIL UNIVERSITY, Navi Mumbai is the result of the original
research work completed by Shri / Smt -----
-----under my supervision and guidance and to the best of my knowledge and belief.

The work embodied in this thesis has not formed the basis for the award of any Degree
or Diploma by any other University or examining body.



Place:-

Date:-

Research Guide

Co-Guide if any

Head of Institute (Seal & Signature)

ANNEXURE-13

Synopsis Evaluation Form

Part I

1. Name of the Examiner:
2. Designation:
3. Address with E-mail ID & Phone/Mobile no:

Part II

1. Code of the student:
2. Title of the synopsis:
3. Date of the receipt of the synopsis:
4. Date of returning the synopsis:

Part III

Final recommendations of the Examiners:

1. Synopsis to be accepted
2. Synopsis to be rejected
3. Synopsis to be resubmitted after modification

Part IV

1. The examiner is requested to make comments if the synopsis is accepted

Comments:

2. In case of rejection of the synopsis, kindly mention sufficient reasons:

Comments:

3. In case, the synopsis is to be modified, kindly suggest modifications.

Comments: [You can put your comments in detail on page no.3]

Date :

Place :

Signature of the Examiner
Name of the Examiner

ANNEXURE-14

Thesis Evaluation Form

Part I

1. Name of the Examiner:
2. Designation:
3. Address with E-mail ID & Phone/Mobile no:

Part II

1. Code of the student:
2. Title of the Thesis:
3. Date of the receipt of the Thesis:
4. Date of returning the Thesis:



Part III

Final recommendations of the Examiners:

1. Thesis to be accepted
2. Thesis to be rejected
3. Thesis to be resubmitted after modification

Part IV

1. The examiner is requested to make comments if the Thesis is accepted

Comments:

NAVI MUMBAI

2. In case of rejection of the Thesis, kindly mention sufficient reasons:

Comments:

3. In case, the Thesis is to be modified, kindly suggest modifications.

Comments: [You can put your comments in detail on page no.3]

Date :

Signature of the Examiner
Name of the Examiner

Place :

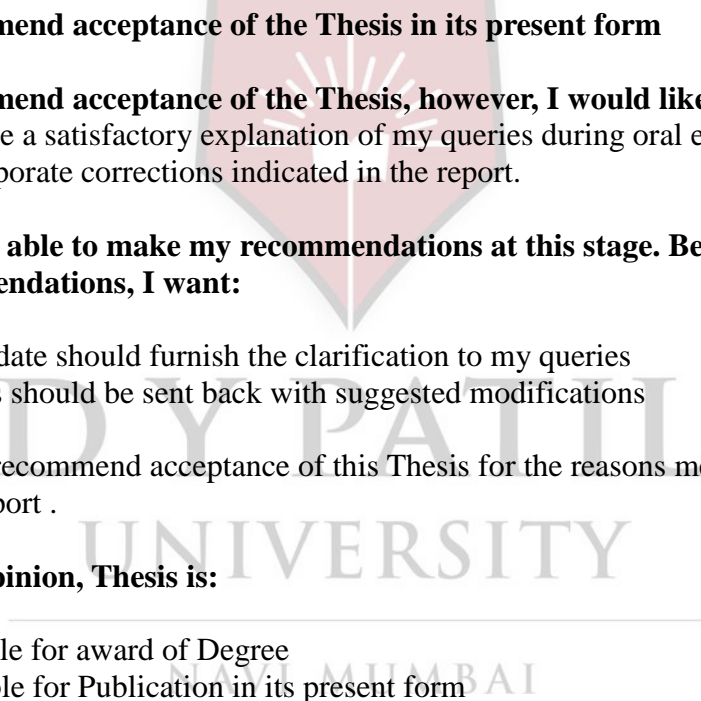
Referee's Report regarding acceptance of the Thesis

(The examiner is requested to go through the check sheet and return it along with the Thesis report with the indication ✓ mark as may be applicable.)

Code of the Student:

Titles of the Thesis:

Department/School:

- 
- I) I recommend acceptance of the Thesis in its present form**
- II) I recommend acceptance of the Thesis, however, I would like the candidate**
a) To give a satisfactory explanation of my queries during oral examination
b) Incorporate corrections indicated in the report.
- III) I am not able to make my recommendations at this stage. Before I send recommendations, I want:**
a) Candidate should furnish the clarification to my queries
b) Thesis should be sent back with suggested modifications
- IV) I do not recommend acceptance of this Thesis for the reasons mentioned in details in my report .**
- V) In my opinion, Thesis is:**

- a) Suitable for award of Degree
b) Suitable for Publication in its present form
c) Suitable for publication after modifications
d) Not suitable for publication

Signature

Name of the Examiner

Place :

Date:

CONFIDENTIAL

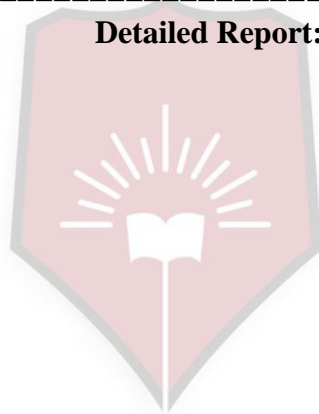
External Referee's Detailed Report for the Thesis

Code of the Student:

Title of Thesis:

Department / School:

Detailed Report:



**D Y PATIL
UNIVERSITY**

NAVI MUMBAI

Signature

Name of the Examiner

Date:

Place:

ANNEXURE-15

Remuneration form for Evaluation of Thesis

HONORARIUM & TA/DA

Name of the Examiner: _____

Address: _____

Phone No. _____ Mobile No. _____

E-mail: _____

Examination: _____

Sr. No.	Particulars of Examination work	Rate Rs.	No. of Students examined	Amount Rs.
1	For Thesis Report	500		
2	Viva-Voce	500		
3	For TA / DA (as per rules)			
	TOTAL			

Total amount (in words) _____

I confirm that I have not received the Honorarium & TA / DA from any other source of the University.

Date: _____



Signature of the Examiner

ANNEXURE-16

Report of Open Defense / Viva Voce

Name of Student:

Title of Thesis:

Date of Viva Voce:

Name of External referee:

Mobile no.& Email id of External Referee:



Detailed Report

**D Y PATIL
UNIVERSITY**

NAVI MUMBAI

Signature & Name of External Referee

Signature & Name of External Referee

Signature & Name of Chairman